

|   |                        |                     |            |
|---|------------------------|---------------------|------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     |                     | 10594119   |
|   | Filing Date            |                     | 2005-03-30 |
|   | First Named Inventor   | Kazuo SHINYA et al. |            |
|   | Art Unit               | 1641                |            |
|   | Examiner Name          | Leon Y. B. Lum      |            |
|   | Attorney Docket Number | 039371-20           |            |

| U.S.PATENTS       |         |               |                        |            |   |  |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|                   | 1       |               |                        |            |   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

| U.S.PATENT APPLICATION PUBLICATIONS |         |                    |                        |                  |   |  |
|-------------------------------------|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial*                   | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|                                     | 1       |                    |                        |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

| FOREIGN PATENT DOCUMENTS |         |                                      |                           |                        |                  |   |  |                          |
|--------------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
| /G.Y./                   | 1       | 02055547                             | WO                        | A2                     | 2002-07-18       | Rubin et al.                                    |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

| NON-PATENT LITERATURE DOCUMENTS |         |   |                |
|---------------------------------|---------|---|----------------|
| Examiner Initials*              | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |
|                                 |         |   |                |

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10594119            |
| Filing Date            | 2005-03-30          |
| First Named Inventor   | Kazuo SHINYA et al. |
| Art Unit               | 1641                |
| Examiner Name          | Leon Y. B. Lum      |
| Attorney Docket Number | 039371-20           |

|        |   |   |                          |
|--------|---|---|--------------------------|
| /G.Y./ | 1 | Office Action issued on December 21, 2010 in corresponding JP Application No. 2006-511728 and partial English translation of the Notice of Reasons for Refusal. | <input type="checkbox"/> |
|--------|---|---|--------------------------|

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

|                    |                    |                 |            |
|--------------------|--------------------|-----------------|------------|
| Examiner Signature | /Galina Yakovleva/ | Date Considered | 03/22/2011 |
|--------------------|--------------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.